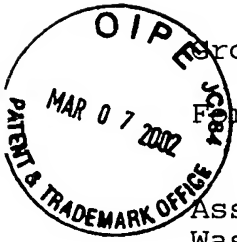


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

0400
#2

In re application of : John T. Vaghey et al.
Serial No. : 10/068,230
Filed : February 4, 2002
Group Art Unit :
For : INTERMETALLIC ELECTRODES FOR
LITHIUM BATTERIES



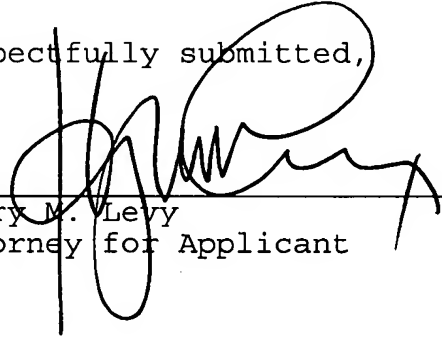
Assistant Commissioner For Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

S I R:

Applicants' undersigned attorneys, who prepared the above-identified application, are aware of the references listed on the attached Form PTO-1449, copies of which are enclosed herewith, and which may be relevant to this application.

Respectfully submitted,

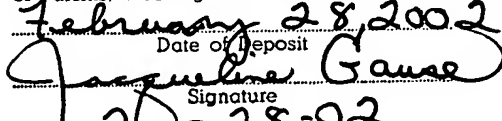


Harry M. Levy
Attorney for Applicant

EMRICH & DITHMAR
300 South Wacker Drive
Suite 3000
Chicago, IL 60606
Telephone: 312/663-9800
Dated: February 28, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on

February 28, 2002
Date of Deposit

Signature
20-28-02
Date of Signature

Authorization is hereby given
to charge any fees in connection
herewith to Deposit Account 05-1060

ATTY. DOCKET NO.

SERIAL NO.

LIST OF PATENTS FOR APPLICANTS'
INFORMATION DISCLOSURE STATEMENT

215.1

10/068,230

(Use several sheets if necessary)



APPLICANTS:

ARGONNE NATIONAL LABORATORY/
UNIVERSITY OF CHICAGOFILING DATE
FEB. 4, 2002

ART UNIT

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA	5	2	9	4	5	0	3	03/15/94	HUANG ET AL.			10/13/92
	AB	5	7	7	0	3	3	3	06/23/98	SAITO ET AL.			06/10/96
	AC												
	AD								/				
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FOREIGN PATENT DOCUMENTS

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	AL 1	1	0	4	0	1	5	5	12/02/99	JAPAN			YES	NO
	AM								/					
	AN								/					
	AO													

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	SMALL PARTICLE SIZE MULTIPHASE LI-ALLOY ANODES FOR LITHIUM- ION-BATTERIES, J. YANG, M. WINTER, J.O. BESENHARD
AQ	INSTOTITE FOR CHEMICAL TECHNOLOGY OF INORGANIC MATERIAL, TECHNICAL UNIVERSITY OF GRAZ. STEAMAYRGASSE 16/III.A-8010

EXAMINER

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	AL 1		/				
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	TIN-BASED AMORPHOUS OXIDE: A HIGH-CAPACITY LITHIUM-ION- STORAGE MATERIAL; W.W.W.SCIENCEMAG.ORG SCIENCE VOL.276
AQ	MAY 30, 1997

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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	HANDBOOK OF BATTERY MATERIAL, JURGEN O. BESENHARD (ED.)
	WILEY-VCH, PAGES. 360-379
AQ	A BRIEF HISTORY OF LITHIUM ALLOYS IN ROOM TEMPERATURE LITHIUM
	BATTERIES, M. WINTER J.O. BESENHARD ELECTROCHIMICA ACTA 45

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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	ACTIVE/INACTIVE NANOCOMPOSITES AS ANODES FOR Li-ION BATTERIES
	ELECTROCHEMICAL AND SOLID-STATE LETTERS.2 (1)3-5- (1999)
AQ	^L CU ^{SN} ₆₋₅ (0<X<13):AN INTERMETALLIC INSERTION ELECTRODE FOR RE-CHARGEABLE LITHIUM BATTERIES, ELECTROCHEMICAL AND SOLID-STATE

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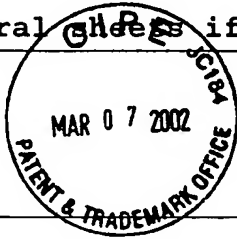
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	LETTERS, 2(7) 307-309) (1999)
	INTERMETALLIC INSERTION ELECTRODES WITH A ZINC BLENDE-TYPE
AQ	STRUCTURE FOR Li BATTERIES: A STUDY OF Li_xInSb ($0 \leq x \leq 3$) ELECTRO-
	CHEMICAL AND SOLID-STATE LETTERS, 3(1) 13-16 (2000)

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ATTY. DOCKET NO.

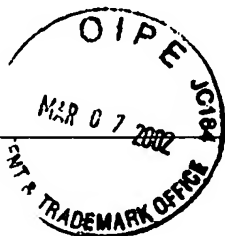
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	AM		/				
	AN		/				
	AO						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	ELECTROCHEMISTRY AND IN-SITU X-RAY DIFFRACTION OF InSb in
	LITHIUM BATTERIES, ELECTROCHEMISTRY COMMUNICATIONS 2(2000)
AQ	595-600
	CRYSTAL STRUCTURES, RALPH W.G. WYCKOFF, UNIVERSITY OF ARIZONA

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	AN		/				
	AO						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	TUCSON, ARIZONA, 2ND EDITION VO. 2, INTERSCIENCE PUBLISHERS PGS. 360-362
AQ	Ternare Phosphide und Arsenide Des Lithiums Mit Eisen Kobalt Oder Chrom im Cu ₂ Sb-Typ VON R. JUZA und K. LANGER PGS. 58-73

EXAMINER

DATE CONSIDERED